



**ROCKER INDUSTRIES**

5492 Bolsa Ave  
 Hunington Beach, CA 92649  
 Phone (714) 908-0777  
 www.rockerindustries.com

**Supplier Quality System Survey**

<b>Date:</b>	
<b>Company Name:</b>	
<b>Company Address:</b>	
<b>Phone Number:</b>	
<b>Fax Number:</b>	
<b>Email Address:</b>	
<b>Website:</b>	

**Management Personnel**

First & Last Name	Title	Phone	Email Address

**Head of Quality Reports to:** \_\_\_\_\_

**Total Number Of Employees**

<b>Production:</b>		<b>Engineering:</b>	
<b>Inspection:</b>		<b>Support Staff:</b>	
<b>Other: _____</b>		<b>Other: _____</b>	

**Basic Quality System Questions**

<b>A</b>	Does your facility maintain a quality system approved to ISO 9001:2000, or AS9100 requirements by an accredited registrar? If Yes, a copy of the certificate is required.	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>B</b>	Does your company maintain current approval by "NADCAP"? If Yes, a copy of the approval is required.	<input type="checkbox"/> Yes <input type="checkbox"/> No

If Yes to A or B above, sign and date the last page and submit a copy of the certification.

\*\*\* For Mil-I-45208A Systems, only complete those questions indicated by and "X"

<b>4.1</b>	<b>Management Responsibility</b>		
<b>4.1.1</b>	<b>Management Policy</b>		<b>Remarks</b>
<b>A</b>	Is there a management policy and objectives for, and commitment to, quality?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Is there a requirement for all personnel to adhere to the documented quality system?	<b>X</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.1.2</b>	<b>Organization</b>		
<b>A</b>	Are responsibilities and authorities defined for those personnel who manage, perform, and verify work affecting quality?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	



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<b>B</b>	Has a management representative been appointed?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>C</b>	Are there defined responsibilities and authorities for the quality representative?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.1.3</b>	<b>Management Review</b>			<b>Remarks</b>
<b>A</b>	Is periodic management review addressed and practiced?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.2</b>	<b>Quality System</b>			<b>Remarks</b>
<b>A</b>	Is there a documented and implemented quality system?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.3</b>	<b>Contract Review</b>			<b>Remarks</b>
<b>A</b>	Are there procedures for contract review and coordinating of such activities?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.5</b>	<b>Document and Data Control</b>			
<b>4.5.2</b>	<b>Document and Data Approval And Issue</b>			<b>Remarks</b>
<b>A</b>	Are all quality system documents such as specifications, drawings, and internally generated documents subject to appropriate issue control?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Is there a system for identification/retrieval/removal of obsolete documents from all points of issue or use?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.5.3</b>	<b>Document and Data Changes/Modifications</b>			<b>Remarks</b>
<b>A</b>	Where practicable, is the nature of the change identified in the document?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Is there a master list or equivalent document control procedure to identify the current revision of the document?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.6</b>	<b>Purchasing</b>			
<b>4.6.1</b>	<b>General</b>			<b>Remarks</b>
<b>A</b>	Is there a system to ensure that all purchases are in accordance with the specified requirements, i.e., a documented requisition system or equal?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.6.2</b>	<b>Evaluation of Subcontractors</b>			<b>Remarks</b>
<b>A</b>	Are there records of acceptable subcontractors such as an Approved Supplier's List" or other such document?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Is there confirmation that the quality system controls are effective at the subcontractor's?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.6.3</b>	<b>Evaluation of Subcontractors</b>			<b>Remarks</b>
<b>A</b>	Are purchasing documents reviewed and approved for adequacy prior to release?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.7</b>	<b>Control of Customer-Supplied Product</b>			<b>Remarks</b>



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<b>A</b>	If applicable, is there a provision for reporting to the customer any such product that is lost, damaged, or otherwise unsuitable for use?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.8</b>	<b>Product Identification and Traceability</b>			<b>Remarks</b>
<b>A</b>	To the extent relevant, is there a procedure for identifying the product from applicable drawings, specifications, or other documents during all stages of production, delivery, storage and installation?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Where traceability is a specified requirement, is there a provision for individual product or batches to have a unique identity?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.9</b>	<b>Process Control</b>			<b>Remarks</b>
<b>A</b>	Do inspection and/or test points appear on route cards or are they addressed in the Manufacturing Plan?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Are there requirements for a suitable maintenance program to ensure continuing process capability?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>C</b>	Are there requirements for any relevant special processes to be suitably qualified?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>D</b>	Are records maintained for qualified processes, equipment and personnel as appropriate?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.10</b>	<b>Inspection and Testing</b>			
<b>4.10.1</b>	<b>General</b>			<b>Remarks</b>
<b>A</b>	Are there documented procedures for inspection and test activities?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.10.2</b>	<b>Receiving Inspection and Testing</b>			<b>Remarks</b>
<b>A</b>	Are there requirements to ensure that incoming product cannot be used or processed until it has been inspected or otherwise verified as conforming to specified requirements?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Is there a provision to suitably identify material urgently required for production, such that it can be recalled and replaced if necessary?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.10.3</b>	<b>In-Process Inspection and Testing</b>			<b>Remarks</b>
<b>A</b>	Are there defined requirements for in-process inspection?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Is non-conforming product clearly identified and segregated?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.10.4</b>	<b>Final Inspection and Testing</b>			<b>Remarks</b>
<b>A</b>	Is final inspection and testing carried out in accordance with documented procedures or a quality plan?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Does final inspection include a review of the quality plan or procedural requirements to verify that all specified activities have been satisfactorily completed, including requirements for documentation?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.10.5</b>	<b>Inspection and Test Records</b>			<b>Remarks</b>



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<b>A</b>	Are there requirements to maintain appropriate records that provide evidence that the product has passed inspection and/or test with defined acceptance criteria?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.11</b>	<b>Control of Inspection, Measuring and Test Equipment</b>			<b>Remarks</b>
<b>A</b>	Are there documented procedures for the control and calibration of all relevant equipment, and is there provision for detailing the identification, location, frequency of checks, check method acceptance criteria and actions to be taken when results are unsatisfactory?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Are there requirements for all items to be traceable to certified equipment that have a known valid relationship to nationally recognized standards?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>C</b>	Are all in-house calibrations carried out under suitable environmental conditions?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>D</b>	Where subcontract laboratories are utilized, is the basis for selection clearly demonstrated and are the instructions adequate to ensure that valid data is produced?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.12</b>	<b>Inspection and Test Status</b>			<b>Remarks</b>
<b>A</b>	Is there a system for identifying the inspection and test status of product throughout the production and installation process?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Is the system adequate to ensure that only product that has passes the required inspections and tests is dispatched, used or installed?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.13</b>	<b>Control of Non-Conforming Product</b>			
<b>4.13.1</b>	<b>General</b>			<b>Remarks</b>
<b>A</b>	Is there a documented system to control non-conforming items, including identification and segregation?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Is the system for controlling non-conforming material adequate to ensure that such product cannot be inadvertently used?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.13.2</b>	<b>Non-Conformity Review and Disposition</b>			<b>Remarks</b>
<b>A</b>	When required by the contract, is non-conforming material that is subject to repair, rework, or "use as is" reported to the customer and a formal concession request made?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	When required by the contract, is non-conforming material that is subject to repair, rework, or "use as is" reported to the customer and a formal concession request made?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.14</b>	<b>Corrective and Preventative Action</b>			<b>Remarks</b>
<b>A</b>	Have procedures been established for instituting corrective action, and are responsibilities and authorities for the same clearly defined?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.15</b>	<b>Handling, Storage, Packaging, Preservation and Delivery</b>			
<b>4.15.1</b>	<b>General</b>			<b>Remarks</b>
<b>A</b>	Are procedures available for handling, storage, packaging, preservation and delivery of product?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.15.2</b>	<b>Handling</b>			<b>Remarks</b>
<b>A</b>	Does the system provide means of handling to prevent damage or deterioration?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	



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<b>4.15.3</b>	<b>Storage</b>			<b>Remarks</b>
<b>A</b>	Is storage secure and adequate to prevent damage or deterioration of product, pending use or delivery?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Is the stock assessed at appropriate intervals for deterioration?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.15.4</b>	<b>Preservation</b>			<b>Remarks</b>
<b>A</b>	Does the system provide for preservation and segregation of all products until the suppliers responsibility ceases?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.15.5</b>	<b>Packaging</b>			<b>Remarks</b>
<b>A</b>	Are the packing, packaging and marking processes adequate to ensure conformance to specified requirements at all times?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.15.6</b>	<b>Delivery</b>			<b>Remarks</b>
<b>A</b>	Are appropriate measures taken to protect the product after inspection and test, and when contractually specified does this include delivery to the customer?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.16</b>	<b>Control of Quality Records</b>			<b>Remarks</b>
<b>A</b>	Have procedures been established for identification, collection, indexing, filing, storage, maintenance, and disposition of quality records?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Are relevant quality records legible and identifiable to the product involved?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.17</b>	<b>Internal Quality Audits</b>			<b>Remarks</b>
<b>A</b>	Is there an audit schedule covering all quality related activities and departments?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Is there a detailed documented procedure for internal audits?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>C</b>	Do suitably qualified personnel, who are independent of those having direct responsibility for the work being performed, carry out the audits?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.18</b>	<b>Training</b>			<b>Remarks</b>
<b>A</b>	Have procedures been established and maintained for identifying training needs and has suitable provision been made for actual training?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>4.20</b>	<b>Statistical Techniques</b>			<b>Remarks</b>
<b>A</b>	Where appropriate, are there established procedures for identifying statistical techniques to verify the acceptability of process capability and product characteristics?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>B</b>	Where statistical process control is utilized, are there specified parameters and control limits, and is it clear that suitable action has to be taken when samples are outside of the defined limits?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>5.0</b>	<b>General</b>			<b>Remarks</b>
<b>A</b>	Will you provide necessary personnel and equipment to Rocker authorized representative to verify all quality concerns?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	



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<b>B</b>	Has your facility supplied product to Prime Contractors for aircraft contracts?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
<b>C</b>	Will you allow Rocker authorized representatives to inspect and audit your quality system?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
<b>D</b>	Will you permit Rocker authorized representatives to conduct On-Site Quality Evaluations as stipulated in the contract?	<b>X</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
<b>E</b>	Please list the prime contractors that have evaluated and approved your quality system.		
<b>F</b>	Please list or attach a list of all special processes for which you maintain a current approval by the "Prime".		

You must also be notified that in accordance with the requirements of AS9100, Rocker Industries' suppliers and their facilities are hereby subject to customer surveillance and investigation. A "Right of Entry" clause is in effect for all contracts. This provision shall allow for Rocker Industries, their customers, and regulatory agencies to determine and verify the quality of work, records and material at any place, including the plant of the sub-contractor.

This survey was completed and performed by:

First & Last Name	Title	Date

\*\*\*\*\* For Rocker Industries Internal Use \*\*\*\*\*

**Quality Ratings:**

**Delivery Ratings:**

As a result of the survey and prior performance, it is recommended the supplier be:

Approved  Yes

Preliminary Approval  Yes\*

\*Resurvey/Follow-up to be conducted on: \_\_\_\_\_

Not Approved  No

\*\*Corrective Action Is Required for Further Consideration

**Comments:**

**\*\*Corrective Action:**

**Rocker Industries Signatures for Approval**

**Purchasing Manager:**

**Date:**

**Quality Manager:**

**Date:**