



Supplier Quality Assurance Requirements

(SQARs)

Revision: 7

When any of the following SQAR codes appear on a Purchase Order, the supplier must comply with the requirement. If the supplier has questions, concerns, or is unable to fulfill the requirement in any way, they are to contact the Buyer prior to performing any work.

001 Supplier Quality System

Compliance with RI Quality System (ref AS9100) for Suppliers is required for this Purchase Order.

002 Right of Access

Rocker Industries (RI), including our customers and regulatory authorities, have the right of access to Supplier and sub-tier facilities and all applicable records. As specified in the Contract / Purchase Order, RI representative shall be granted the right to verify at the subcontractor's premises that subcontracted product and/or processes conform to specified requirements. Such verification will not be used by the supplier as evidence of effective control of quality. Verification by the customer does not absolve the supplier's responsibility to provide acceptable product nor will it preclude subsequent rejection by the customer. Contact RI (minimum of 10 days prior to scheduled completion, for scheduling purposes). Release of product prior to source inspection is not allowed unless you are otherwise notified by Rocker in writing.

003 Notification of Changes

Suppliers are required to notify RI of any changes in their QMS, upper management, processes, products, and manufacturing/distribution location. Suppliers are also required to notify RI of any changes in design or deviation from established design requirements. On Items which RI or its customer hold design authority suppliers cannot make changes without prior written approval. In the event of nonconforming material, the supplier is required to notify RI of the nonconformance and obtain disposition from an authorized RI representative. All changes and notification shall be completed in writing and retained as evidence.

004 Records Retention

The supplier shall establish and maintain documented procedures for identification, legibility, safe retention, maintenance, and retrieval of quality records. The supplier shall maintain adequate records of all inspections and tests. The records shall indicate the nature and number of observations made, the number and type of deficiencies found, the quantities approved and rejected, and the nature of corrective action taken as appropriate. Such records shall be made available to RI upon request, and shall be retained for 15 years after final payment under the related Purchase Order or for an additional period as imposed in the Purchase Order. Records may be in the form of any type of media, such as hard copy or electronic media. Supplier shall notify RI of its intent to dispose of records that meet these retention requirements before the completion of disposal.

005 Counterfeit Part Prevention Program

The supplier shall establish and maintain a Counterfeit Parts Prevention and Control Plan conforming at a minimum to the ISO9001. The purpose of this document shall be to prevent delivery of counterfeit parts and control parts identified as counterfeit. The supplier and their sub-tier suppliers agrees and shall ensure that Counterfeit work is not delivered to RI. The supplier shall immediately notify RI with the pertinent facts if the Supplier becomes aware or suspects that it has furnished Counterfeit work.

006 Use of Sub-Tier Suppliers

All certifications provided to the RI supplier from their sub-tier suppliers must contain the RI Purchase Order number to ensure complete traceability. Where the use of sub-tier suppliers is permitted, the RI supplier shall



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impose all RI Purchase Order requirements on their sub-tier suppliers including key characteristics. Suppliers are required to flow down all RI and RI customer requirements to sub-tier suppliers

007 DRC Conflict Free Minerals

The supplier shall provide components that are free from “Conflict Minerals” originating from the Democratic Republic of the Congo (DRC) and surrounding regions identified at www.sec.gov. If requested by RI or RI Customers, the supplier must be able produce documented evidence the product sold to RI meets these requirements.

008 Supplier FOD Requirements

The supplier shall establish a FOD program that complies with NAS 412 Foreign Object Damage / Foreign Object Debris Prevention. The supplier is required to flow down this requirement to sub-tiers. Suppliers will be required to inspect for and prevent FOD for all shipments to RI. Goods found with FOD will be returned to the supplier for inspection and cleaning as required before being introduced into RI’ inventory.

009 Employee Training

Supplier is required to ensure that employees performing work on this PO are aware of their contribution to product and service conformity, product safety, as well as the importance of ethical behavior.

010 Certificate of Compliance

The supplier shall submit with each shipment, a Certificate of Compliance, stating that products shipped against this Purchase Order are in compliance with all requirements and referenced specifications. The Certification shall include Purchase Order number, part number (including revision level) and must be signed by an authorized Quality Representative (including title). When the supplier is not the Manufacturer, the Manufacturer's Certificate of Compliance shall be included.

011 Full Certifications

Supplier is to provide RI with complete chemical and physical test reports for supplied material/items as well as any process certification occurring during or after the processing of the material/Items.

012 Re-Submission of Product

Reworked product being re-submitted for acceptance shall reference the original and the return PO number on the new Certificate of Compliance. If re-work involved replacement of materials, or re-processing, appropriate new certifications are required.

013 Supplier Deviations / Waivers

Delegation of Material Review Board authority by RI to its subcontractors is NOT PERMITTED. Requests for deviations/ waivers must be submitted for approval to the RI Buyer, in writing, and be approved PRIOR to shipment of the product. When Design Engineering, Supplier Quality, and Purchasing have approved a deviation/waiver, the supplier shall enclose a copy of the approved request with each shipment of the affected product. If the quantity being shipped exceeds the quantity accepted, the affected parts must be tagged and segregated from the rest of the parts.

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prevent FOD for all shipments to Rocker Industries. Goods found with FOD will be returned to the supplier for inspection and cleaning as required before being introduced into Rocker Industries' inventory.

015 Customer Owned Material / Material Traceability

Supplier shall furnish certification that any material furnished by RI was used in the manufacture of the hardware. The supplier must provide complete traceability for all RI owned materials, including work order number and Purchase Order number on the supplier's Certificate of Conformance.

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019 AS9100 Quality Management System Requirements

Supplier must have current AS9100 Certification for the completion of this purchase order

020 ISO9001 Quality Management System Requirements

Supplier must have current ISO9001 Certification for the completion of this purchase order

021 Special Process Certifications

Special processes needed for this PO must be completed by NADCAP approved sources only, and as required by certified personnel when completing the process.

022 Test Data

Performance test results are required with product furnished on this Purchase Order.

023 Material Safety Data Sheets

Seller/Supplier shall supply, with the material ordered, the most recent copy of the Material Safety Data Sheet (MSDS) as published by the original material manufacturer.

024 Customer Approved Processors

When this code appears on the Purchase Order, use of current RI customer approved suppliers is required. Supplier is required to contact purchasing for a current list of approved sources for this order.

025 DFARS Raw Material Certification

The supplier shall submit a Certificate of Conformance from the producing mill showing actual chemical and physical properties. The Manufacturer's Quality Representative must sign all certificates. All reports must provide traceability by heat number. Suppliers should use all available means to obtain raw material melted in the United States. Raw material melted outside of the United States will not be used or provided as raw material unless the country of origin is listed in DFARS 225.872. A test report from the DFARS-qualified country of origin must be provided. If the report is not written in English, a report from an accredited, independent laboratory within the United States showing actual chemical and physical proper ties is also required. If material is not melted in the United States or a DFARS-qualified country, the supplier must request and obtain approval from the RI Buyer through a Purchase Order or Purchase Order amendment prior to use or release of material to RI. The material must be tested for chemical and physical properties at an accredited, independent laboratory



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within the United States. Any exceptions to this requirement will be at the discretion of RI and will be handled on a case-by-case basis. The DFARS list is maintained by the United States Department of Defense and is accessible on the Defense Procurement and Acquisition web site:

http://www.acq.osd.mil/dpap/dars/dfars/html/current/225_8.htm#225.872-1

If the supplier has concerns or questions about this requirement, the RI Buyer should be contacted immediately.

027 Age Control Materials

Certificate of Conformance shall include manufacturers name, address, cure date, batch number and compound type with each shipment of "O" rings, seals, gaskets and other shelf-life controlled items. All such items must have been manufactured within the last (8) quarters. Adhesives, paints, sealants and other items that require MSDS sheets shall have a minimum of 75% of their shelf-life remaining. The expiration date must be marked on the outside of all containers.

028 First Article Inspection

Supplier shall perform a complete First Article Inspection (FAI) in accordance with the current revision of AS9102. The supplier must use forms that contain all required information as outlined by AS9102. The First Article Inspection Report (FAIR) shall include 100% inspection of one part, subassembly or assembly, and a record of all actual dimensional measurements, drawing notes and part specific Purchase Order requirements. Certifications for material and all special processes required by blueprint and/or Purchase Order notes must also be included. The First Article part(s) shall be identified/tagged and submitted with the FAIR(s).

029 Electrostatic Discharge Protection

ESD packaging and handling is required for the product on this order.

030 Nameplates

When providing a specific nameplate for the first time, or from new drawings and/or revisions, the supplier shall submit a Photo Proof for RI approval, prior to production run.

031 Radiographic Inspection

All items requiring radiographic inspection shall be submitted to a RI Approved X-Ray laboratory, to be processed in accordance with specifications and standards noted on the drawing or Purchase Order. All findings shall be submitted in a suitable format and shall contain the name of the individual reading the film and the signature and title of the authorized laboratory representative. The report will be submitted, with each shipment of material, to the purchaser and contain a means of cross-referencing the report to the film and to each individual part.

032 Calibration Services

Certification of Calibration, traceable to NIST, with reference to appropriate specification (ANSI Z540-1, ISO 100121, ISO 17025 etc.) is required. ** LONG-FORM CERTIFICATE REQUIRED. **